

2176 #8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

JCR
Rand Monteleone et al.

Serial No.: 10/008,125

Filed: November 5, 2001

For: A SYSTEM AND METHOD FOR
NAVIGATING PATIENT MEDICAL
INFORMATION

Examiner: John Cabeca

Group Art: 2176



INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION AND PETITION
FOR CONSIDERATION UNDER 37 CFR § 1.97

Mail Stop Group 2176
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. Copies of the listed documents are also enclosed.

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This information is being submitted prior to the mailing of a first office action. Accordingly, no fee is due with this submission. Additionally, this information was cited in a search report received in the corresponding PCT application. A copy of the search report dated August 27, 2003 is attached. This information is being cited within three (3) months within the time from the applicant received this information.

Petition under 37 CFR § 1.97(d)

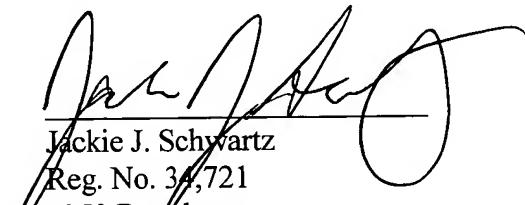
The undersigned hereby petitions that the Examiner consider the information cited in this Statement.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

By:



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Suite 1507
New York, New York 10018
(212) 971-9017

Dated: September 16, 2003



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

| Complete if Known | |
|--------------------------|-----------------|
| Application Number | 10/008,125 |
| Filing Date | 11/05/2001 |
| First Named Inventor | Rand Monteleone |
| Art Unit | 2176 |
| Examiner Name | John Cabeca |
| Attorney Docket Number | 2000P09139US01 |

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Signature _____ Date Considered _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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|------------------------------|---|----|---|--------------------------|-----------------|
| Substitute for form 1449/PTO | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/008,125 |
| | | | | Filing Date | 11/05/2001 |
| | | | | First Named Inventor | Rand Monteleone |
| | | | | Art Unit | 2176 |
| | | | | Examiner Name | John Cabeca |
| Sheet | 2 | of | 2 | Attorney Docket Number | 2000P09139US01 |

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|--|-----------------------|---|--|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | | MCDONALD, CJ ET AL., "The Regenstrief Medical Record System:a quarter century experience", Internat'l Journal of Medical Informatics, vol 54, no 3, June 1999, pages 225-253 | | | |
| | | SUNG, M.Y. ET AL., "CoMed: a real time collaborative medicine system", Internat'l Journal of Medical Informatics, vol 57, no 2 - 3, July 2000, pages 117 -126 | | | |
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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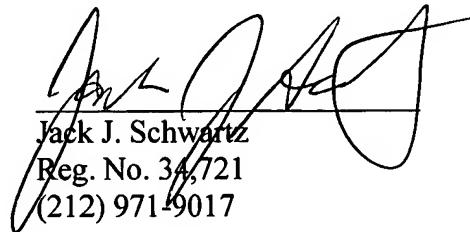


Patent Application No. 10/008,125

CERTIFICATE OF MAILING

I hereby certify that this amendment is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Mail Stop Group 2176, Commissioners for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on:

9/16/03
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